



# Department of Homeland Security

Fiscal Year 2022 Freedom of Information Act Report  
to the Attorney General of the United States and the  
Director of the Office of Government Information Services

*February 2022*



Homeland  
Security

## Executive Summary

In Fiscal Year 2021, the Department of Homeland Security (DHS) set new records for the number of Freedom of Information Act (FOIA) requests received and processed, while achieving its lowest backlog in almost a decade. Overall, DHS received 442,650 FOIA requests and responded to 467,347 requests. DHS ended FY 2021 with a backlog of 25,102 requests – a reduction of more than 30 percent compared to the end of FY 2020, and only 6 percent of the total number of requests received.

The Privacy Office published the [2020 – 2023 DHS Departmental FOIA Backlog Reduction Plan](#) in March 2019, resulting in substantial progress in modernizing DHS FOIA business operations. In addition to reducing the DHS Privacy Office backlog by 92 percent, the DHS Privacy Office closed approximately 25,000 requests received by mail in FY 2019 that could not be logged previously due to necessary COVID-19 safety protocols. The DHS Privacy Office also assisted U.S. Citizenship and Immigration Services (USCIS), U.S. Immigration and Customs Enforcement (ICE), and U.S. Customs and Border Protection (CBP) with closing requests in their backlogs. Eight Components reduced their backlogs in FY 2021.

DHS FOIA operations also made notable progress on long-term efforts to strengthen FOIA operations. Key among these advancements is maintenance of an agreement between USCIS and ICE that reduces the administrative burden of processing A-File records. This agreement enables the Department to respond to requests more efficiently. Additionally, the Privacy Office undertook several actions to substantially upgrade the FOIA information technology infrastructure used by most components.<sup>1</sup> Modernized FOIA processing solutions will improve efficiency and assist with the identification of potentially sensitive information that should be withheld. The Privacy Office is working with Components to customize the technology and expects to deploy the tool in Spring 2022.

The DHS Privacy Office, led by the Chief Privacy and Chief FOIA Officer, has distinct responsibilities for oversight of DHS FOIA programs and improvements to enhance compliance with the law. The Privacy Office is committed to creating a mature and sustainable FOIA program that better serves the public and the Department, and to working with Components to implement the backlog reduction strategy to improve operations.

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<sup>1</sup> The Privacy Office maintains the FOIA processing solution used by all components except CBP, USSS, and USCIS.

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## **I. Basic Information Regarding Report**

1. Questions regarding this report may be directed to:

Lynn Parker Dupree  
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Privacy Office  
U.S. Department of Homeland Security  
245 Murray Lane SW, Mail Stop 0655  
Washington, DC 20528-0655  
Phone: 202-343-1743; Fax: 202-343-4011

2. This report can be downloaded from the DHS FOIA website at  
<http://www.dhs.gov/foia-annual-reports>.

3. Requests for this report in paper form may also be directed to the Privacy Office, as listed above.

## **II. Making a FOIA Request<sup>2</sup>**

1. Names, addresses, and contact numbers for DHS FOIA Officers can be found on our website at <http://www.dhs.gov/foia-contact-information>.

2. Brief description of why requests may not be granted:

In response to a FOIA request, DHS may respond that that the requested records are exempt, in whole or in part, as well as that the requested record does not exist or cannot be located. For example, the Department frequently receives requests for law enforcement records, which are of specific interest to subjects of investigation, victims of crime, and the public at large. Depending on who is requesting the information (i.e., a first or third-party requester) the Department may invoke exemptions 6 and 7(C) (to prevent an unwarranted invasion of personal privacy) and/or exemption 7(E) (to protect against the disclosure of law enforcement techniques, procedures, and guidelines).

## **III. Acronyms, Definitions, and Exemptions**

1. Agency-specific acronyms or other terms.

- a. CBP      U.S. Customs and Border Protection
- b. CISA<sup>3</sup>    Cybersecurity and Infrastructure Security Agency
- c. CISOMB   Office of the Citizenship and Immigration Services Ombudsman
- d. CRCL     Office for Civil Rights and Civil Liberties
- e. CWMD    Countering Weapons of Mass Destruction Office<sup>4</sup>

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<sup>2</sup> The Privacy Office (PRIV) processes and reports on FOIA requests for PRIV, the Office of the Secretary (including the Military Advisor's Office), and the following components: CISOMB, CISA, CRCL, CWMD, ESEC, FPS, MGMT, OBIM, OGC, OLA, OPA, OPE, OPS, PLCY, and S&T.

<sup>3</sup> On November 16, 2018, President Trump signed into law the Cybersecurity and Infrastructure Security Agency Act of 2018. This legislation elevated the mission of the former National Protection and Programs Directorate (NPPD) within DHS and established the Cybersecurity and Infrastructure Security Agency (CISA).

<sup>4</sup> In December 2018, President Trump signed the Countering Weapons of Mass Destruction Act of 2018, authorizing

f. DHS	Department of Homeland Security
g. ESEC	Office of the Executive Secretary
h. FEMA	Federal Emergency Management Agency
i. FLETC	Federal Law Enforcement Training Centers
j. FPS	Federal Protective Service <sup>5</sup>
k. I&A	Office of Intelligence and Analysis
l. ICE	U.S. Immigration and Customs Enforcement
m. MGMT	Management Directorate
n. MIL	Military Advisors Office
o. NCSC	National Cyber Security Center
p. OBIM	Office of Biometric Identity Management
q. OCP	Office of Community Partnerships
r. OGC	Office of the General Counsel
s. OIG	Office of Inspector General
t. OLA	Office of Legislative Affairs
u. OPA	Office of Public Affairs
v. OPE	Office of Partnership and Engagement
w. OPS	Office of Operations Coordination
x. PLCY	Office of Strategy, Policy, and Plans
y. PRIV	Privacy Office
z. S&T	Science and Technology Directorate
aa. TSA	Transportation Security Administration
bb. USCG	United States Coast Guard
cc. USCIS	U.S. Citizenship and Immigration Services
dd. USSS	United States Secret Service

## 2. Definition of terms, as used in this report.

- a. **Administrative Appeal** – A request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level. Under section 704 of the Administrative Procedures Act, the Department’s response to an administrative appeal is considered final agency action that is then appealable to a federal district court.
- b. **Backlog** – The number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.

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the CWMD Office. The Act transferred the functions, personnel, budget authority, and assets of the Domestic Nuclear Detection Office (DNDO) and the Office of Health Affairs (OHA), with the exception of workforce health and medical support functions that were transferred from OHA to the DHS Management Directorate, to the CWMD Office, and abolished DNDO and OHA.

<sup>5</sup> FPS is an operational component within the DHS Management Directorate that provides law enforcement and security services to approximately 9,000 federal facilities nationwide.

- c. **Component** – For agencies that process requests on a decentralized basis, a “component” is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in the Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- d. **Consultation** – The procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it provides its views on the record to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- e. **Exemption 3 Statute** – A federal statute other than FOIA that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.<sup>6</sup>
- f. **FOIA Request** – A FOIA request is generally a request to a federal agency for access to records concerning another person (in other words, a “third-party” request), an organization, or a particular topic of interest. Moreover, because requesters covered by the Privacy Act who seek records concerning themselves (in other words, “first-party” requesters) are afforded the benefit of the access provisions of both FOIA and the Privacy Act, the term “FOIA request” also includes any such “first-party” requests when an agency determines that it must search beyond its Privacy Act “systems of records” or when the agency applies a Privacy Act exemption and therefore looks to FOIA to afford the greatest possible access. DHS applies this same interpretation of the term “FOIA request” even to “first-party” requests from persons not covered by the Privacy Act, e.g., non-U.S. citizens. Because DHS policy provides non-U.S. citizens the ability to access their own records as if they are subject to the Privacy Act’s access provisions, DHS processes the requests under FOIA as well. Thus, all requests that require DHS to utilize FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to DHS for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- g. **Full Grant** – An agency decision to disclose all records in full in response to a FOIA request.
- h. **Full Denial** – An agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.

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<sup>6</sup> Pursuant to 5 U.S.C. § 552(b)(3) as amended by sec. 564 of Public Law 111-83, a statute enacted after October 28, 2009 can qualify as an Exemption 3 law only if it cites specifically to 5 U.S.C. § 552(b)(3).

- i. **Multi-Track Processing** – A system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
  - i. **Expedited Processing** – An agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
  - ii. **Simple Request** – A FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the volume and/or simplicity of records requested.
  - iii. **Complex Request** – A FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- j. **Partial Grant/Partial Denial** – An agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- k. **Pending Request or Pending Administrative Appeal** – A pending request is one where the agency has not yet provided a response to the requester. A pending administrative appeal is where one where the agency has not taken final action in all respects.
- l. **Perfected Request** – A request for records that reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- m. **Processed Request or Processed Administrative Appeal** – A processed request is one where the agency has provided a complete response to the requester’s initial request. A processed administrative appeal is one where the agency has advised the requester of its final decision on the requester’s appeal as to the handling of the initial request.
- n. **Range in Number of Days** – The lowest and highest number of days required to process requests or administrative appeals.
- o. **Time Limits** – The time period in the statute for an agency to respond to a FOIA request (ordinarily 20 working days from receipt of a perfected FOIA request).<sup>7</sup>

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<sup>7</sup> Absent “unusual circumstances,” the FOIA provides that agencies should make a determination with respect to an appeal within twenty working-days of its receipt by the agency. 5 U.S.C. § 552(a)(6)(A)(ii).



3. Concise descriptions of FOIA exemptions:

- a. **Exemption 1:** classified national defense and foreign relations information.
- b. **Exemption 2:** internal agency rules and practices (personnel).
- c. **Exemption 3:** information that is prohibited from disclosure by another federal law.
- d. **Exemption 4:** trade secrets and other confidential or financial information obtained from a person.
- e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges, such as the deliberative process privilege, attorney work product privilege, and attorney client privilege.
- f. **Exemption 6:** information that, if released, would be a clearly unwarranted invasion of personal privacy.
- g. **Exemption 7:** law enforcement records where the disclosure would (A) interfere with enforcement proceedings, B) deprive a person of a fair trial or impartial adjudication, C) constitute an unwarranted invasion of personal privacy, D) identify a confidential source, E) disclose law enforcement techniques and procedures or guidelines for law enforcement investigations or prosecutions, or F) endanger the life or physical safety of any individual.
- h. **Exemption 8:** information relating to the supervision of financial institutions.
- i. **Exemption 9:** geological/geophysical information concerning wells

#### IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component <sup>8</sup>		Total Number of Times Relied upon by DHS
2 U.S.C. 437g(a)(12)(A)* (Federal Election Campaign Act)		N/A	USCG	1	1
5 U.S.C. a §7 (Inspector General Act)	Identity of employee who submits a complaint	N/A	OIG	1	1
6 U.S.C. § 121 (d)	Intelligence sources and methods	N/A	I&A OIG PRIV	85 1 6	92
6 U.S.C. § 133(a)(1)	Protection of voluntarily shared critical infrastructure information	N/A	CISA FEMA PRIV	4 4 2	10
6 USC § 1504(d)(3)(B)	A cyber threat indicator or defensive measure shared with the federal government under the Cybersecurity Information Sharing Act of 2015.	N/A	CISA	1	1
6 U.S.C 623(e) Protecting and Securing Chemical Facilities from Terrorist Attacks Act of 2014	Information submitted regarding chemical facilities	N/A	I&A	7	7
7 U.S.C. § 12(a)(1)	[D]ata and information that would separately disclose the business transactions or market positions of any person and trade secrets or names of customers, as well as certain other information concerning, or obtained in, the course of the Commission's investigation under the Commodity Exchange Act	<u>Hunt v. Commodity Futures Trading Comm'n</u> , 484 F. Supp. 47, 49 (D.D.C. 1979).	CISA	1	1

<sup>8</sup> DHS Component(s) are referred to as "Component" for the remainder of this report.

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component <sup>8</sup>		Total Number of Times Relied upon by DHS
8 U.S.C. § 1202(f) (Immigration and Nationality Act)	Certain records pertaining to the issuance or refusal of visas to enter the United States	<u>Medina-Hincapie v. Dep't of State</u> , 700 F.2d 737, 741-42 (D.C. Cir. 1983); <u>DeLaurentiis v. Haig</u> , 686 F.2d 192, 194 (3d Cir. 1982); <u>Assadi v. Dep't of State</u> , No. 12-1111, 2014 WL 4704840, at *6 (S.D.N.Y. Sept. 19, 2014); <u>Beltranena v. U.S. Dep't of State</u> , 821 F. Supp. 2d 167, 177-78 (D.D.C. 2011); <u>Badalamenti v. U.S. Dep't of State</u> , 899 F. Supp. 542, 547 (D. Kan. 1995); <u>Jan-Xin Zang v. FBI</u> , 756 F. Supp. 705, 711-12 (W.D.N.Y. 1991); <u>Smith v. DOJ</u> , No. 81-CV-813, 1983 U.S. Dist. LEXIS 10878, at *13-14 (N.D.N.Y. Dec. 13, 1983).	PRIV USCIS	5,033 32,523	37,556
10 U.S.C. § 130b	Personally identifiable information pertaining to “any member of the armed forces assigned to an overseas unit, a sensitive unit, or a routinely deployable unit” and “any employee of the Department of Defense or of the Coast Guard whose duty station is with any such unit”	<u>Freedom Watch, Inc. v. NSA</u> , 197 F. Supp. 3d 165, 174 (D.D.C. 2016); <u>Hiken v. DOD</u> , 521 F. Supp. 2d 1047, 1062 (N.D. Cal. 2007); <u>O'Keefe v. DOD</u> , 463 F. Supp. 2d 317, 325 (E.D.N.Y. 2006); <u>Windel v. United States</u> , No. A02-306, 2005 WL 846206, at *2 (D. Alaska Apr. 11, 2005).	USCG	1	1
10 U.S.C. § 2305(g)	Certain contractor proposals	<u>Roman v. NSA</u> , Nos. 09-2947, 09-4281, 09-3344, 09-2504, 09-5633, 2012 WL 569747, at *7 (E.D.N.Y. Feb. 22, 2012); <u>Margolin v. NASA</u> , No. 09-CV-00421-LRH-VPC, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011).	FEMA	2	2
16 U.S.C. § 470hh	Information pertaining to the nature and location of certain archaeological resources	<u>Hornbostel v. U.S. Dep't of the Interior</u> , 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No.03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	CBP	7	7

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component <sup>8</sup>		Total Number of Times Relied upon by DHS
18 U.S.C. §§ 2510, et seq. (Title III of the Omnibus Crime Control and Safe Streets Act)	Wiretap requests and the contents of any wire, oral, or electronic communication obtained through wiretaps	<u>Payne v. DOJ</u> , No. 96-30840, slip op. at 5-6 (5th Cir. July 11, 1997); <u>Lam Lek Chong v. DEA</u> , 929 F.2d 729, 733 (D.C. Cir. 1991).	USSS	5	5
18 U.S.C. § 3123 (d)	Certain court "order[s] authorizing or approving the installation and use of a pen register or a trap and trace device"; information pertaining to "the existence of the pen register or trap and trace device or the existence of the investigation"	<u>Labow v. DOJ</u> , 831 F.3d 523, 527-28 (D.C. Cir. 2016); <u>ACLU v. DOJ</u> , 2014 WL 4954121, at *11 (N.D. Cal. Sept. 30, 2014); <u>Manna v. DOJ</u> , 815 F. Supp. 798, 812 (D.N.J. 1993), aff'd on other grounds, 51 F.3d 1158 (3d Cir. 1995).	ICE	1	1
18 U.S.C. § 3509(d) (Federal Victims' Protection and Rights Act)	Certain records containing identifying information pertaining to children involved in criminal proceedings	<u>Davis v. U.S. Postal Inspection Serv.</u> , 75 F. Supp. 3d 425, 430-31 (D.D.C. 2014).	ICE	2	2
26 U.S.C. §§ 6103, 6105 (Internal Revenue Code)	Certain tax return information, to include Taxpayer Identification Numbers of third parties, and certain tax convention information	<u>Church of Scientology v. IRS</u> , 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103); <u>Elec. Priv. Info. Ctr. v. IRS</u> , 910 F.3d 1232 (D.C. Cir. 2018); <u>Leonard v. U.S. Dep't of Treasury</u> , 590 F. App'x. 141, 143-44 (3d Cir. 2014) (per curiam); <u>Pac. Fisheries, Inc. v. IRS</u> , 395 F. App'x. 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105); <u>Tax Analysts v. IRS</u> , 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. § 6105).	CBP USCIS	4 189	193

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component <sup>8</sup>		Total Number of Times Relied upon by DHS
31 U.S.C. § 5319 (Bank Secrecy Act)	Reports pertaining to monetary instruments transactions filed under subchapter II of chapter 53 of title 31 and records of those reports	<u>Turner v. Dep't of Treasury</u> , No. 15-00007, 2017 WL 1106030 at *7 (E.D. Cal. Mar. 23, 2017); <u>Yunes v. DOJ</u> , 263 F. Supp. 3d 82, 87 (D.D.C. 2017); <u>Council on Am.-Islamic Rels., Cal. v. FBI</u> , 749 F. Supp. 2d 1104, 1117 (S.D. Cal. 2010); <u>Berger v. IRS</u> , 487 F. Supp. 2d 482, 496-97 (D.N.J. 2007), aff'd on other grounds, 288 F. App'x 829 (3d Cir. 2008).	ICE USCIS	1 64	65
41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	<u>Sinkfield v. HUD</u> , No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); <u>Margolin v. NASA</u> , No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); <u>Hornbostel v. U.S. Dep't of the Interior</u> , 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	CBP FEMA ICE PRIV USCIS	1 13 2 2 13	31
41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1))* (Procurement Integrity Act)	Contractor bid or proposal information; source selection information	<u>Legal &amp; Safety Employer Research, Inc. v. U.S. Dep't of the Army</u> , No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	CBP FEMA ICE PRIV	1 3 1 4	9
49 U.S.C. § 114 (r) (formerly at 49 U.S.C. § 114 (s))	Information obtained or developed in carrying out security under the authority of the Aviation and Transportation Security Act or under chapter 449 of this title	<u>Skurow v. DHS</u> , No. 11-1296, 2012 WL 4380895, at *9-10 (D.D.C. Sept. 26, 2012); <u>Gordon v. FBI</u> , 390 F. Supp. 2d 897, 900 (N.D. Cal. 2004).	CBP CISA FEMA I&A ICE TSA USCG USCIS USSS	2 1 1 1 3,389 113 5 18,057 121	21,690
49 U.S.C. 1114(c) (Transportation Safety Act of 1974)	Certain "cockpit voice or video recorder recording[s] or transcript[s] of communications by and between flight crew members and ground stations pertaining to [] incident[s] investigated by the [National Transportation Safety] Board"	<u>McGilvra v. NTSB</u> , 840 F. Supp. 100, 102 (D. Colo. 1993).	ICE	2	2

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component <sup>8</sup>		Total Number of Times Relied upon by DHS
50 U.S.C. § 4565(c) (formerly at 50 U.S.C. app. 2170(c))	Information or documentary material filed with the Committee on Foreign Investment in the United States	N/A	I&A	8	8
50 U.S.C. § 3024(i)(1) (formerly at 50 U.S.C. § 403-1(i)(1)) (National Security Act of 1947)	Intelligence sources and methods	<u>CIA v. Sims</u> , 471 U.S. 159, 167 (1985).	FEMA I&A OIG PRIV USCG USCIS	1 83 1 6 1 1	93
50 U.S.C. § 3507 (formerly at 50 U.S.C. § 403g)	Intelligence sources and methods; certain information pertaining to Agency employees, specifically: “the organization, functions, names, official titles, salaries, or numbers of personnel employed by the Agency”	<u>Assassination Archives &amp; Rsch. Ctr. v. CIA</u> , No. 18-5280, 2019 WL 691517 (D.C. Cir. Feb. 15, 2019) (per curiam); <u>Berman v. CIA</u> , 501 F.3d 1136, 1137-38, 1140 (9th Cir. 2007); <u>Makky v. Chertoff</u> , 489 F. Supp. 2d 421, 442 (D.N.J. 2007), aff’d on other grounds, 541 F. 3d 205 (3d Cir. 2008).	USSS	1	1
50 U.S.C. 403-1(i)(1)(National Security Act of 1947)	Intelligence sources and methods	<u>CIA v. Sims</u> , 471 U.S. 159, 167 (1985); <u>ACLU v. DOJ</u> , 681 F.3d 61, 72-75 (2d Cir. May 21, 2012); <u>ACLU v. DOD</u> , 628 F.3d 612, 619, 626 (D.C. Cir. 2011); <u>Berman v. CIA</u> , 501 F.3d 1136, 1137-38, 1140 (9th Cir. 2007).	ICE	2	2
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319	Certain records that would reveal some secret aspect pertaining to grand jury proceedings	<u>Sussman v. USMS</u> , 494 F.3d 1106, 1113 (D.C. Cir. 2007); <u>Sorin v. DOJ</u> , No. 18-99, 2018 WL 6431027 (2d Cir. Dec. 6, 2018) (per curiam); <u>Widi v. McNeil</u> , No. 12-CV-00188, 2016 WL 4394724, at *23 (D. Me. Aug 16, 2016); <u>Durham v. U.S. Atty. Gen.</u> , No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); <u>Cozen O’Connor v. U.S. Dep’t of Treasury</u> , 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008).	USCIS	41	41

## V. FOIA Requests

### A. Received, Processed, and Pending FOIA Requests<sup>9</sup>

Processing Center	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
CBP	8,085	108,177	90,576	25,686
CISA	131	153	177	107
CRCL <sup>10</sup>	17	80	76	21
FEMA	547	975	916	606
FLETC	2	162	155	9
FPS	22	210	211	21
I&A	33	257	226	64
ICE	12,361	39,716	47,865	4,212
OIG	211	253	142	322
PRIV <sup>11</sup>	22,186	53,432	71,586	4,032
TSA	741	794	1,008	527
USCG	1,833	2,341	2,012	2,162
USCIS	37,025	235,210	251,289	20,946
USSS	400	890	1,108	182
<b>AGENCY OVERALL</b>	<b>83,594</b>	<b>442,650</b>	<b>467,347</b>	<b>58,897</b>

### B. (1) Disposition of FOIA Requests – All Processed Requests

Processing Center	No. of Full Grants	No. of Partial Grants/ Partial Denials	No. of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									Total
				No Records	All Records Referred	Request Withdrawn	Fee-Related Reason	Not Reasonably Described	Improper FOIA Request	Not Agency Record	Duplicate Request	Other	
CBP	14,535	21,358	1,962	36,647	1,721	531	38	639	11,357	857	931	0	<b>90,576</b>
CISA	3	50	11	57	2	11	1	23	3	1	7	8	<b>177</b>
CRCL	0	18	2	22	0	0	0	4	10	12	5	3	<b>76</b>
FEMA	155	260	38	93	82	179	5	39	14	18	32	1	<b>916</b>
FLETC	5	23	1	12	22	5	0	63	20	0	4	0	<b>155</b>
FPS	9	109	3	31	8	2	0	14	22	0	5	8	<b>211</b>
I&A	4	38	84	22	17	6	0	13	0	19	20	3	<b>226</b>
ICE	1,432	39,722	941	3,757	328	54	0	42	246	201	352	790	<b>47,865</b>
OIG	4	60	9	19	16	9	0	5	4	7	5	4	<b>142</b>
PRIV	681	35,652	28	33,485	518	38	0	139	488	29	452	76	<b>71,586</b>
TSA	113	269	63	141	46	131	0	61	70	25	28	61	<b>1,008</b>
USCG	956	232	34	295	22	311	2	2	3	10	63	82	<b>2,012</b>
USCIS	22,559	151,163	9351	47,647	961	320	0	0	7,403	927	10,958	0	<b>251,289</b>
USSS	35	561	26	162	2	7	0	17	199	18	8	73	<b>1,108</b>

<sup>9</sup> After reviewing their databases, the following components adjusted the number of requests pending as of the start of the Fiscal Year: CBP, CISA, FEMA, FLETC, FPS I&A, ICE, OIG, PRIV, TSA, USCG, USCIS, and USSS.

<sup>10</sup> CRCL requests were previously included in PRIV.

<sup>11</sup> PRIV includes requests sent through the physical mail that were not logged in during the previous year due to safety restrictions.

Processing Center	No. of Full Grants	No. of Partial Grants/ Partial Denials	No. of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									
				No Records	All Records Referred	Request Withdrawn	Fee-Related Reason	Not Reasonably Described	Improper FOIA Request	Not Agency Record	Duplicate Request	Other	Total
AGENCY OVERALL	4,0491	249,515	12,553	122,390	3,745	1,604	46	1,061	19,839	2,124	12,870	1,109	467,347

**B. (2) Disposition of FOIA Requests – “Other” Reasons for “Full Denials Based on Reasons Other than Exemptions” from Section V, B (1) Chart**

Processing Center	Description	No. of Times Used	Total
CBP	N/A	0	0
CISA	Unable to locate requester Aggregated	1 7	8
CRCL	Request in Litigation	3	3
FEMA	Unable to locate requester Aggregated Request in Litigation	4 2 1	7
FLETC	N/A	0	0
FPS	Aggregated	8	8
I&A	Request in Litigation	3	3



Processing Center	Description	No. of Times Used	Total
ICE	Request in Litigation Unable to Locate Requester Aggregated	62 28 700	790
OIG	Records Referred Non-Responsive Unable to Locate Requester	1 3	4
PRIV	Request in Litigation Aggregated Unable to Locate Requester	9 65 2	76
TSA	Unable to Locate Requester Records Referred Non-Responsive	58 3	61
USCG	Aggregated Unable to Locate Requester	2 80	82
USCIS	N/A	0	0
USSS	Request in Litigation Records Referred Non-responsive Unable to Locate Requester	36 36 1	73
<b>AGENCY OVERALL</b>			<b>1,115</b>

### B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied

Processing Center	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
CBP	2	269	15	186	196	21,141	45	23	21,011	13	21,609	9	0	0
CISA	1	0	7	5	27	48	4	0	6	0	28	7	0	0
CRCL	0	0	0	0	12	20	0	0	11	0	10	0	0	0
FEMA	1	17	24	96	63	251	4	0	35	0	25	1	0	0
FLETC	0	0	0	2	2	23	0	0	3	0	1	0	0	0
FPS	0	0	0	5	43	110	20	0	108	2	101	37	0	0
I&A	1	0	184	0	2	54	0	0	0	0	87	0	0	0
ICE	1	66	3,400	140	3,259	39,658	95	77	39,652	120	37,000	86	8	3
OIG	1	4	3	82	17	61	6	0	56	1	6	0	0	0
PRIV	0	1	5,053	24	118	32,184	3	3	29,654	1	34,755	4	0	0
TSA	2	2	113	28	62	277	12	1	52	0	2	0	0	0
USCG	1	3	8	21	42	235	22	0	143	8	12	1	0	0
USCIS	0	46	50,888	312	43,924	117,624	36	0	130,531	22	139,942	92	0	0
USSS	2	0	127	42	87	538	22	6	538	40	494	6	0	0
<b>AGENCY OVERALL</b>	<b>12</b>	<b>408</b>	<b>59,822</b>	<b>863</b>	<b>47,854</b>	<b>212,224</b>	<b>269</b>	<b>110</b>	<b>221,800</b>	<b>207</b>	<b>234,072</b>	<b>243</b>	<b>8</b>	<b>3</b>

## VI. Administrative Appeals of Initial Determinations of FOIA Requests

### A. Received, Processed, and Pending Administrative Appeals<sup>12</sup>

Processing Center	No. of Appeals Pending as of Start of Fiscal Year	No. of Appeals Received in Fiscal Year	No. of Appeals Processed in Fiscal Year	No. of Appeals Pending as of End of Fiscal Year
CBP	65	3194	3181	78
FEMA	14	37	22	29
FLETC	0	3	0	3
ICE	225	667	690	202
OIG	9	27	21	15
PRIV	61	360	198	223
TSA	8	36	41	3
USCG	40	33	46	27
USCIS	70	2,511	2,331	250
USSS	28	40	49	19
<b>AGENCY OVERALL</b>	<b>520</b>	<b>6,908</b>	<b>6,579</b>	<b>849</b>

<sup>12</sup> After reviewing its database, CBP, FEMA, FLETC, OIG, TSA, USCG, and USSS adjusted the number of appeals pending as of the start of the Fiscal Year.

## B. Disposition of Administrative Appeals – All Processed Appeals

Processing Center	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	Total
CBP	703	361	1,597	520	3,181
FEMA	1	3	1	17	22
FLETC	0	0	0	0	0
ICE	553	50	35	52	690
OIG	4	0	0	17	21
PRIV	122	2	26	48	198
TSA	18	4	0	19	41
USCG	10	10	9	17	46
USCIS	586	1,392	53	300	2,331
USSS	18	11	12	8	49
<b>AGENCY OVERALL</b>	<b>2,015</b>	<b>1,833</b>	<b>1,733</b>	<b>998</b>	<b>6,579</b>

## C. (1) Reasons for Denial on Appeal – Number of Times Exemptions Applied

Processing Center	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
CBP	0	17	150	3	27	1,508	4	94	1,503	0	1,166	7	0	0
FEMA	0	0	0	0	3	2	0	0	2	0	0	0	0	0
FLETC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ICE	0	2	43	4	75	527	0	0	494	0	450	1	0	0
OIG	0	0	0	0	0	4	0	0	4	0	0	0	0	0
PRIV	0	0	4	1	4	11	1	0	7	0	9	1	0	0
TSA	0	1	2	2	3	12	2	0	1	0	0	0	0	0
USCG	0	0	0	2	8	14	3	0	9	0	3	0	0	0
USCIS	0	0	511	3	324	678	1	0	998	0	1,048	0	0	0
USSS	0	0	0	4	0	14	3	0	14	0	10	1	0	0
<b>AGENCY OVERALL</b>	<b>0</b>	<b>20</b>	<b>710</b>	<b>19</b>	<b>444</b>	<b>2,770</b>	<b>14</b>	<b>94</b>	<b>3,032</b>	<b>0</b>	<b>2,686</b>	<b>10</b>	<b>0</b>	<b>0</b>

## C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions

Processing Center	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial for Expedited Processing	Other *Explained in chart C. (3), below
CBP	15	7	15	2	3	402	2	73	1	0	0
FEMA	2	0	3	0	0	0	0	1	0	1	10

Processing Center	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial for Expedited Processing	Other *Explained in chart C. (3), below
FLETC	0	0	0	0	0	0	0	0	0	0	0
ICE	5	1	2	1	19	2	3	3	6	1	9
OIG	1	0	2	0	0	2	10	0	2	0	0
PRIV	0	3	18	0	0	2	0	4	4	0	17
TSA	3	0	7	0	0	0	0	1	0	0	8
USCG	1	3	8	0	0	2	0	0	3	0	0
USCIS	0	0	2	0	0	57	117	124	0	0	0
USSS	0	4	0	0	0	1	0	0	3	0	0
<b>AGENCY OVERALL</b>	27	18	57	3	22	468	132	206	19	2	44

**C. (3) Reasons for Denial on Appeal – “Other” Reasons from Section VI, C (2)  
Chart**

Processing Center	Description	No. of Times Used	Total
CBP	N/A	0	0
FEMA	Improper Appeal Adequacy of Search	9 1	10
FLETC	N/A	0	0
ICE	Improper Appeal	9	9
OIG	N/A	0	0
PRIV	Constructive Denial	17	17
TSA	No Component Response to Adjudicate Improper Appeal	4 4	8
USCG	N/A	0	0
USCIS	N/A	0	0
USSS	N/A	0	0
<b>AGENCY OVERALL</b>			<b>44</b>

### C. (4) Response Times for Administrative Appeals

Processing Center	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
CBP	5	11	1	1,032
FEMA	56	108	<1	330
FLETC	N/A	N/A	N/A	N/A
ICE	17	66	<1	256
OIG	18	96	2	348
PRIV	80	87	<1	313
TSA	19	49	<1	353
USCG	333	340	3	850
USCIS	8	10	1	79
USSS	100	116	12	332
<b>AGENCY OVERALL</b>	<b>7</b>	<b>24</b>	<b>&lt;1</b>	<b>1,032</b>

### C. (5) Ten Oldest Pending Administrative Appeals

Processing Center	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
CBP	Date of Receipt	4/13/2021	4/7/2021	3/22/2021	9/1/2020	7/28/2020	7/20/2020	10/4/2019	5/21/2019	12/7/2018	9/15/2017
	Number of Days Pending	118	122	134	268	293	299	495	590	702	1,008
FEMA	Date of Receipt	6/22/2020	6/29/2020	7/15/2020	8/18/2020	8/28/2020	10/6/2020	10/6/2020	11/25/2020	8/11/2020	7/15/2020
	Number of Days Pending	246	246	272	280	285	304	304	315	320	381
FLETC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	6/10/2021	3/31/2021	1/13/2021
	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	95	146	201
ICE	Date of Receipt	3/19/2021	3/19/2021	3/19/2021	3/19/2021	3/19/2021	3/19/2021	3/19/2021	3/19/2021	3/19/2021	2/18/2021
	Number of Days Pending	186	186	186	186	186	186	186	186	186	287
OIG	Date of Receipt	3/5/2021	11/30/2020	11/30/2020	11/30/2020	7/29/2020	7/7/2020	12/16/2019	9/25/2019	9/25/2019	5/6/2019
	Number of Days Pending	140	210	210	210	294	310	450	505	511	604
PRIV	Date of Receipt	1/15/2021	12/19/2020	12/16/2020	12/10/2020	12/10/2020	12/10/2020	11/12/2020	11/12/2020	10/29/2020	7/7/2020
	Number of Days Pending	179	195	198	202	202	202	215	215	230	310
TSA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	9/9/2021	8/19/2021	8/16/2021
	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	15	29	35
USCG	Date of Receipt	1/27/2021	12/14/2020	12/9/2020	9/22/2020	9/11/2020	7/17/2020	5/26/2020	4/21/2020	11/19/2019	1/4/2017
	Number of Days Pending	172	200	203	256	263	302	339	365	468	1,188

Processing Center	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
USCIS	Date of Receipt	9/8/2021	9/8/2021	9/8/2021	9/8/2021	9/8/2021	9/8/2021	9/8/2021	9/8/2021	9/8/2021	9/3/2021
	Number of Days Pending	19	19	19	19	19	19	19	19	19	17
USSS	Date of Receipt	7/22/2021	7/15/2021	6/30/2021	6/30/2021	6/14/2021	5/14/2021	5/13/2021	3/30/2021	3/30/2021	6/30/2020
	Number of Days Pending	49	54	64	64	76	96	97	129	129	315
AGENCY OVERALL	Date of Receipt	4/21/2020	11/19/2019	10/4/2019	9/25/2019	9/25/2019	5/21/2019	5/6/2019	12/7/2018	9/15/2017	1/4/2017
	Number of Days Pending	365	468	495	505	511	590	604	702	1,008	1,188

## VII. FOIA Requests: Response Time for Processed and Pending Requests

### A. Processed Requests – Response Time for All Processed Perfected Requests

Processing Center	Simple				Complex				Expedited			
	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days
CBP	30	40	1	1,164	45	106	1	1,449	112	299	4	1,083
CISA	6	7	<1	20	299	367	23	1,182	126	145	4	323
CRCL	3	4	<1	20	209	214	24	607	N/A	N/A	N/A	N/A
FEMA	3	4	<1	20	125	90	23	910	56	91	5	327
FLETC	11	21	1	38	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FPS	4	6	<1	20	26	30	21	57	35	39	6	65
I&A	6	7	<1	19	47	72	21	223	15	37	0	194
ICE	8	9	<1	20	42	71	<1	1,487	84	99	<1	598
OIG	9	9	2	19	141	256	21	1,081	97	97	97	97
PRIV	7	27	<1	413	105	130	<1	1,006	169	173	<1	960
TSA	3	5	<1	20	326	432	21	1,416	164	164	59	269
USCG	8	9	<1	20	73	209	21	1,905	63	568	12	1,628
USCIS	17	22	1	783	25	34	1	1,083	18	51	1	237
USSS	3	7	1	20	148	229	21	1,060	47	83	5	825
AGENCY OVERALL	18	29	<1	1,164	30	63	1	1,905	87	132	<1	1,628

### B. Processed Requests – Response Time for Perfected Requests in Which Information Was Granted

Processing Center	Simple				Complex				Expedited			
	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days
CBP	49	58	<1	1,164	48	107	1	1,449	96	277	6	1,069
CISA	7	7	7	7	122	347	23	1,182	N/A	N/A	N/A	N/A

Processing Center	Simple				Complex				Expedited			
	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days
CRCL	15	14	6	20	203	237	29	607	N/A	N/A	N/A	N/A
FEMA	9	9	<1	20	126	193	23	910	52	75	7	255
FLETC	22	33	1	102	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FPS	5	7	<1	20	27	32	21	57	36	42	28	65
I&A	3	5	1	18	69	88	21	223	73	81	41	194
ICE	8	9	<1	20	40	66	5	1,487	86	104	19	598
OIG	12	11	4	19	175	242	26	923	N/A	N/A	N/A	N/A
PRIV	N/A	N/A	N/A	N/A	125	148	<1	1,006	157	241	57	887
TSA	8	10	<1	20	268	415	21	1,416	164	164	59	269
USCG	10	10	0	20	62	99	21	1,857	63	568	12	1,628
USCIS	19	29	1	783	29	43	1	1,083	41	63	11	237
USSS	4	6	1	20	160	240	21	1,060	50	99	5	825
<b>AGENCY OVERALL</b>	<b>19</b>	<b>35</b>	<b>&lt;1</b>	<b>1,164</b>	<b>28</b>	<b>55</b>	<b>&lt;1</b>	<b>1,857</b>	<b>81</b>	<b>142</b>	<b>5</b>	<b>1,628</b>

### C. Processed Requests – Response Time in Day Increments

#### (1) Simple Requests

Processing Center	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	Total
CBP	26,400	22,143	10,900	7,658	3,475	3,388	1,934	307	90	45	77	35	40	<b>76,492</b>
CISA	29	0	0	0	0	0	0	0	0	0	0	0	0	<b>29</b>
CRCL	41	0	0	0	0	0	0	0	0	0	0	0	0	<b>41</b>
FEMA	311	0	0	0	0	0	0	0	0	0	0	0	0	<b>311</b>
FLETC	48	11	5	5	1	1	1	0	0	0	0	0	0	<b>72</b>
FPS	125	0	0	0	0	0	0	0	0	0	0	0	0	<b>125</b>
I&A	97	0	0	0	0	0	0	0	0	0	0	0	0	<b>97</b>
ICE	24,489	0	0	0	0	0	0	0	0	0	0	0	0	<b>24,489</b>
OIG	36	0	0	0	0	0	0	0	0	0	0	0	0	<b>36</b>
PRIV	447	147	91	51	24	22	7	6	2	3	21	2	1	<b>824</b>
TSA	338	0	0	0	0	0	0	0	0	0	0	0	0	<b>338</b>
USCG	667	0	0	0	0	0	0	0	0	0	0	0	0	<b>667</b>
USCIS	40,668	6,395	1,425	2,136	1,401	387	55	86	31	16	155	44	46	52,845
USSS	351	0	0	0	0	0	0	0	0	0	0	0	0	<b>351</b>
<b>AGENCY OVERALL</b>	<b>109,570</b>	<b>106,710</b>	<b>26,134</b>	<b>31,030</b>	<b>11,802</b>	<b>4,594</b>	<b>2,312</b>	<b>827</b>	<b>370</b>	<b>195</b>	<b>648</b>	<b>299</b>	<b>412</b>	<b>294,903</b>

**(2) Complex Requests**

<b>Processing Center</b>	<b>1-20 Days</b>	<b>21-40 Days</b>	<b>41-60 Days</b>	<b>61-80 Days</b>	<b>81-100 Days</b>	<b>101-120 Days</b>	<b>121-140 Days</b>	<b>141-160 Days</b>	<b>161-180 Days</b>	<b>181-200 Days</b>	<b>201-300 Days</b>	<b>301-400 Days</b>	<b>401+ Days</b>	<b>Total</b>
CBP	644	295	319	146	82	55	51	46	36	43	140	46	120	<b>2,023</b>
CISA	0	16	11	7	4	5	6	1	1	1	7	10	48	<b>117</b>
CRCL	0	4	0	2	0	1	1	1	0	1	6	3	2	<b>21</b>
FEMA	0	85	54	51	34	34	23	23	13	20	76	46	62	<b>521</b>
FLETC	0	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
FPS	0	26	7	0	0	0	0	0	0	0	0	0	0	<b>33</b>
I&A	0	29	17	9	3	3	4	4	1	5	2	0	0	<b>77</b>
ICE	126	10,978	4,859	2,090	1,346	1,185	550	289	122	157	520	280	495	<b>22,997</b>
OIG	0	12	12	5	6	3	10	4	4	4	14	3	19	<b>96</b>
PRIV	2,338	8,445	8,471	7,088	7,364	6,079	5,083	3,448	2,664	3,323	11,249	4,229	210	<b>69,991</b>
TSA	0	78	38	24	25	14	17	11	9	12	30	37	242	<b>537</b>
USCG	0	355	220	184	145	65	33	17	16	18	49	30	203	<b>1335</b>
USCIS	56,191	84,409	15,138	23,316	8,302	1,183	370	514	278	147	550	262	371	<b>191,031</b>
USSS	1	101	41	30	24	19	20	31	19	22	50	32	105	<b>495</b>
<b>AGENCY OVERALL</b>	<b>59,300</b>	<b>104,833</b>	<b>29,187</b>	<b>32,952</b>	<b>17,335</b>	<b>8,646</b>	<b>6,168</b>	<b>4,389</b>	<b>3,163</b>	<b>3,753</b>	<b>12,693</b>	<b>4,978</b>	<b>1,877</b>	<b>289,274</b>

**(3) Requests Granted Expedited Processing**

<b>Processing Center</b>	<b>1-20 Days</b>	<b>21-40 Days</b>	<b>41-60 Days</b>	<b>61-80 Days</b>	<b>81-100 Days</b>	<b>101-120 Days</b>	<b>121-140 Days</b>	<b>141-160 Days</b>	<b>161-180 Days</b>	<b>181-200 Days</b>	<b>201-300 Days</b>	<b>301-400 Days</b>	<b>401+ Days</b>	<b>Total</b>
CBP	6	3	0	4	0	1	0	0	1	0	0	4	8	<b>27</b>
CISA	2	0	0	0	0	0	0	0	0	0	1	1	0	<b>4</b>
CRCL	0	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
FEMA	6	3	5	3	2	1	0	2	0	0	2	2	0	<b>26</b>
FLETC	0	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
FPS	1	9	4	3	0	0	0	0	0	0	0	0	0	<b>17</b>
I&A	21	2	8	4	2	0	0	0	2	0	0	0	0	<b>39</b>
ICE	6	12	16	10	13	13	4	5	0	2	8	0	2	<b>91</b>
OIG	0	0	0	0	1	0	0	0	0	0	0	0	0	<b>1</b>
PRIV	10	5	6	3	0	15	11	15	19	33	20	1	6	<b>144</b>
TSA	0	0	1	0	0	0	0	0	0	0	1	0	0	<b>2</b>
USCG	1	0	0	1	0	0	0	0	0	0	0	0	1	<b>3</b>
USCIS	6	0	0	3	0	0	0	0	0	0	1	0	0	<b>10</b>
USSS	12	7	7	4	6	1	1	2	2	2	1	0	1	<b>46</b>
<b>AGENCY OVERALL</b>	<b>71</b>	<b>41</b>	<b>47</b>	<b>35</b>	<b>24</b>	<b>31</b>	<b>16</b>	<b>24</b>	<b>24</b>	<b>37</b>	<b>34</b>	<b>8</b>	<b>18</b>	<b>410</b>



### D. Pending Requests – All Pending Perfected Requests

Processing Center	Simple			Complex			Expedited		
	Number Pending	Median No. of Days	Average No. of Days	Number Pending	Median No. of Days	Average No. of Days	Number Pending	Median No. of Days	Average No. of Days
CBP	19,508	44	51	1,861	283	353	69	568	532
CISA	14	11	10	83	504	499	4	561	554
CRCL	5	7	7	15	94	289	0	N/A	N/A
FEMA	55	7	7	464	177	253	21	154	209
FLETC	9	112	118	0	N/A	N/A	0	N/A	N/A
FPS	10	11	11	1	61	61	0	N/A	N/A
I&A	8	15	13	45	119	142	11	134	123
ICE	2,186	129	214	1,737	111	204	35	178	226
OIG	14	6	8	298	245	294	0	N/A	N/A
PRIV	52	13	11	2,454	10	49	37	252	234
TSA	40	7	8	467	445	465	0	N/A	N/A
USCG	191	9	10	1,961	312	467	0	N/A	N/A
USCIS	4,033	22	47	16,908	30	50	5	357	454
USSS	27	4	5	140	270	382	15	183	196
<b>AGENCY OVERALL</b>	<b>26,152</b>	<b>38</b>	<b>64</b>	<b>26,434</b>	<b>31</b>	<b>130</b>	<b>197</b>	<b>297</b>	<b>341</b>

### E. Pending Requests – Ten Oldest Pending Perfected Requests

Processing Center	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
CBP	Date of Receipt	5/4/2016	4/18/2016	3/2/2016	2/22/2016	2/19/2016	1/8/2016	4/13/2015	1/20/2015	4/1/2014	1/30/2014
	Number of Days Pending	1,352	1,342	1,397	1,404	1,403	1,126	1,313	1,677	1,876	1,768
CISA	Date of Receipt	8/24/2017	8/24/2017	7/25/2017	7/11/2017	7/11/2017	7/10/2017	7/10/2017	5/10/2017	2/10/2017	1/18/2017
	Number of Days Pending	1,026	1,031	1,048	1,058	1,058	1,059	1,059	1,100	1,162	1,179
CRCL	Date of Receipt	6/28/2021	5/21/2021	5/18/2021	1/31/2021	1/30/2021	7/5/2019	5/20/2019	4/23/2019	4/23/2019	3/28/2019
	Number of Days Pending	66	87	94	419	420	562	594	613	613	631
FEMA	Date of Receipt	5/11/2018	5/2/2018	3/20/2018	1/9/2018	11/6/2017	11/6/2017	11/2/2017	10/19/2017	9/29/2017	9/14/2017
	Number of Days Pending	847	855	857	934	976	976	976	988	1,001	1,012
FLETC	Date of Receipt	9/30/2021	9/27/2021	9/15/2021	6/4/2021	4/22/2021	3/24/2021	3/10/2021	8/28/2020	6/25/2020	N/A
	Number of Days Pending	1	4	12	69	112	133	143	273	318	N/A
FPS	Date of Receipt	9/24/2021	9/23/2021	9/17/2021	9/23/2021	8/31/2021	9/10/2021	/8/2021	9/7/2021	9/7/2021	7/6/2021
	Number of Days Pending	4	8	10	10	11	14	16	17	17	61
I&A	Date of Receipt	9/9/2020	9/8/2020	9/2/2020	8/26/2020	7/31/2020	7/31/2020	7/31/2020	7/30/2020	7/24/2020	7/24/2020
	Number of Days Pending	265	266	269	274	292	292	292	293	297	297
ICE	Date of Receipt	1/6/2018	1/25/2018	1/17/2018	1/11/2018	12/27/2017	11/9/2017	10/16/2017	10/5/2017	10/3/2017	4/27/2017
	Number of Days Pending	918	923	929	932	942	975	991	997	999	1,109
OIG	Date of Receipt	6/20/2018	6/19/2018	6/18/2018	6/14/2018	6/8/2018	5/2/2018	3/29/2018	4/3/2018	3/8/2018	1/24/2018

Processing Center	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
	Number of Days Pending	821	822	823	825	829	855	868	876	894	924
PRIV	Date of Receipt	10/26/2018	10/25/2018	10/25/2018	9/25/2018	7/19/2018	6/18/2018	6/18/2018	6/12/2018	12/20/2017	10/9/2017
	Number of Days Pending	732	733	733	754	801	823	823	827	919	995
TSA	Date of Receipt	11/8/2016	1/24/2017	2/17/2017	3/7/2017	3/17/2017	4/4/2017	3/17/2017	3/14/2017	1/19/2017	11/4/2016
	Number of Days Pending	1,138	1,138	1,141	1,146	1,157	1,175	1,178	1,191	1,225	1,227
USCG	Date of Receipt	10/20/2014	10/20/2014	10/2/2014	10/1/2014	10/31/2014	8/25/2014	8/14/2014	5/29/2014	4/16/2014	5/12/2014
	Number of Days Pending	1,741	1,741	1,752	1,753	1,756	1,773	1,786	1,827	1,870	1,878
USCIS	Date of Receipt	11/12/2017	11/12/2017	11/8/2017	10/31/2017	10/31/2017	10/27/2017	10/12/2017	10/10/2017	9/25/2017	9/18/2017
	Number of Days Pending	991	991	993	999	999	1,001	1,012	1,014	1,024	1,029
USSS	Date of Receipt	10/19/2019	10/9/2019	6/3/2019	6/3/2019	5/30/2019	5/22/2019	4/9/2019	3/26/2019	1/18/2019	12/13/2018
	Number of Days Pending	490	496	586	586	590	593	622	634	679	703
AGENCY OVERALL	Date of Receipt	10/20/2014	10/20/2014	10/2/2014	10/1/2014	10/31/2014	8/25/2014	8/14/2014	5/29/2014	4/16/2014	5/12/2014
	Number of Days Pending	1,741	1,741	1,752	1,753	1,756	1,773	1,786	1,827	1,870	1,878

## VIII. Requests for Expedited Processing and Requests for Fee Waivers

### A. Requests for Expedited Processing

Processing Center	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated within 10 Calendar Days
CBP	14	4,632	11	25	2,315
CISA	4	16	9	10	13
CRCL	0	7	1	1	7
FEMA	62	37	4	13	41
FLETC	0	0	N/A	N/A	0
FPS	13	10	1	5	21
I&A	53	1	1	2	53
ICE	90	171	28	31	109
OIG	1	12	22	52	1
PRIV	46	62	3	6	89
TSA	2	92	1	64	76
USCG	3	0	3	11	2
USCIS	5	11,707	1	2	11,533
USSS	48	8	1	3	54
AGENCY OVERALL	341	16,755	1	9	14,314

## B. Requests for Fee Waiver

Processing Center	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
CBP	26	605	<1	<1
CISA	42	0	5	5
CRCL	6	0	4	105
FEMA	132	0	2	22
FLETC	0	0	N/A	N/A
FPS	32	0	1	4
I&A	67	0	1	4
ICE	55	0	2	12
OIG	5	0	223	301
PRIV	263	0	1	3
TSA	37	0	1	77
USCG	5	0	60	67
USCIS	24	139	63	91
USSS	0	0	0	0
<b>AGENCY OVERALL</b>	<b>694</b>	<b>744</b>	<b>1</b>	<b>27</b>

## IX. FOIA Personnel and Costs

Processing Center	Personnel			Costs		
	Number of “Full Time FOIA Employees”	Number of “Equivalent Full-Time FOIA Employees”	Total Number of “Full-Time FOIA Staff” (Col. 1 + Col. 2)	Processing Costs	Litigation - Related Costs	Total Costs
CBP	71	1.34	72.34	\$5,163,998.10	\$0.00	\$5,163,998.10
CISA	1	0	1	\$1,065,260.00	\$0.00	\$1,065,260.00
CRCL	2	0	1.52	\$113,401.00	\$111,940.00	\$225,341.00
FEMA	16	12.23	28.23	\$1,144,382.00	\$3,300.00	\$1,147,682.00
FLETC	2	2.3	4.3	\$400,250.25	\$0.00	\$400,250.25
FPS	1	1	2	\$31,417.00	\$0.00	\$31,417.00
I&A	2	0	2	\$370,655.50	\$27,000.00	\$397,655.50
ICE	48	9.7	57.7	\$9,598,191.18	\$217,460.60	\$9,815,651.78
OIG	5	1	5.4	\$777,736.98	\$7,500	\$785,236.98
PRIV	27	12.5	39.45	\$7,993,757.41	\$5,000.00	\$7,998,757.41
TSA	10	2	12	\$1,852,525.00	\$135,286.00	\$1,987,811.00
USCG	9	14.064	23.064	\$304,775.00	\$3,000.00	\$307,775.00
USCIS	253	103	356	\$39,929,161.96	\$1,493,489.00	\$41,422,650.96
USSS	15	2.1	17.1	\$2,150,578.40	\$1,500.00	\$2,152,078.40
<b>AGENCY OVERALL</b>	<b>462</b>	<b>161.234</b>	<b>623.234</b>	<b>\$70,896,089.78</b>	<b>\$2,005,475.60</b>	<b>\$72,901,565.38</b>

## **X. Fees Collected for Processing Requests**

<b>Processing Center</b>	<b>Total Amount of Fees Collected</b>	<b>Percentage of Total Costs</b>
CBP	\$14,971.00	0.29%
CISA	0	0
CRCL	0	0
FEMA	0	0
FLETC	0	0
FPS	0	0
I&A	0	0
ICE	0	0
OIG	0	0
PRIV	0	0
TSA	0	0
USCG	\$4,184.46	1.37%
USCIS	0	0
USSS	0	0
<b>AGENCY OVERALL</b>	<b>\$19,155.46</b>	<b>0.00%</b>

**XI. FOIA Regulations** – The Department of Homeland Security FOIA Implementing Regulations are codified at 6 C.F.R. Part 5, dated December 22, 2016, available at [http://www.dhs.gov/xlibrary/assets/FOIA\\_FedReg\\_Notice.pdf](http://www.dhs.gov/xlibrary/assets/FOIA_FedReg_Notice.pdf). This final rule established procedures for the public to obtain information from DHS under the Freedom of Information Act and the Privacy Act.

### **A. Number of Times Subsection (c) Used<sup>13</sup>**

<b>Processing Center</b>	<b>Number of Times Subsection (c) Used</b>
CBP	0
CISA	0
CRCL	0
FEMA	0
FLETC	0
FPS	0
I&A	0
ICE	0
OIG	0
PRIV	0
TSA	0
USCG	0

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<sup>13</sup> 5 U.S.C. § 552(c) provides special protection for three categories of particularly sensitive law enforcement records. For these three specifically defined categories of records, federal law enforcement agencies “may treat the records as not subject to the requirements of [the FOIA].”

Processing Center	Number of Times Subsection (c) Used
USCIS	0
USSS	0
<b>AGENCY OVERALL</b>	<b>0</b>

## **B. Number of Subsection (a)(2) Postings<sup>14</sup>**

Processing Center	Number of (a)(2) Records Posted by the FOIA Office	Number of (a)(2) Records Posted by Program Offices
CBP	87,693	N/A
CISA	0	N/A
CRCL	0	N/A
FEMA	0	N/A
FLETC	28	N/A
FPS	3,336	N/A
I&A	0	N/A
ICE	108	N/A
OIG	3,336	N/A
PRIV	0	N/A
TSA	43,345	N/A
USCG	0	N/A
USCIS	1,637	N/A
USSS	0	N/A
<b>AGENCY OVERALL</b>	<b>139,483</b>	<b>N/A</b>

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<sup>14</sup> 5 U.S.C. § 552(a)(2) requires agencies to post specific categories of records, including released records that have been requested three times.

## XII. Backlogs, Consultations, and Comparisons

### A. Backlogs of FOIA Requests and Administrative Appeals

Processing Center	Number of Backlogged Requests as of the End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
CBP	15,696	14
CISA	84	N/A
CRCL	14	N/A
FEMA	472	25
FLETC	6	3
FPS	1	N/A
I&A	53	N/A
ICE	3,958	169
OIG	282	12
PRIV	450	171
TSA	459	1
USCG	1,882	25
USCIS	1,599	0
USSS	146	16
<b>AGENCY OVERALL</b>	<b>25,102</b>	<b>436</b>

### B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations<sup>15</sup>

Processing Center	Number of Consultations Received from Other Agencies that Were Pending at Your Agency as of Start of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that Were Processed by Your Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were Pending at Your Agency as of the End of the Fiscal Year
CBP	40	119	97	62
CISA	0	3	2	1
CRCL	0	7	7	0
FEMA	26	58	61	23
FLETC	0	0	0	0
FPS	0	23	23	0
I&A	13	22	8	27
ICE	162	188	141	209
OIG	2	23	10	15
PRIV	40	62	57	45
TSA	9	31	31	9
USCG	54	37	25	66
USCIS	12	88	93	7
USSS	36	71	87	20

<sup>15</sup> After reviewing their databases, the following components adjusted the number of consultations pending as of the start of the Fiscal Year: CBP, CISA, FEMA, I&A, ICE, PRIV, TSA, USCIS, and USSS.

Processing Center	Number of Consultations Received from Other Agencies that Were Pending at Your Agency as of Start of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that Were Processed by Your Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were Pending at Your Agency as of the End of the Fiscal Year
AGENCY OVERALL	394	732	642	484

**C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending**

Processing Center	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
CBP	Date of Receipt	3/14/2019	3/7/2019	9/18/2018	6/16/2018	4/16/2018	4/16/2018	3/16/2018	2/13/2018	1/8/2018	10/11/2017
	Number of Days Pending	638	643	756	842	864	864	885	907	932	991
CISA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1/4/2021
	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	188
CRCL	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FEMA	Date of Receipt	7/27/2020	6/26/2020	5/14/2020	8/22/2019	11/28/2019	11/28/2019	11/28/2019	11/28/2019	3/3/2018	4/10/2017
	Number of Days Pending	296	316	346	528	711	711	711	711	897	1,122
FLETC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FPS	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
I&A	Date of Receipt	2/4/2020	10/22/2019	8/30/2019	7/19/2019	7/15/2019	7/12/2019	7/10/2019	4/17/2019	11/20/2018	5/15/2018
	Number of Days Pending	417	487	522	552	556	557	559	617	716	846
ICE	Date of Receipt	5/15/2017	5/15/2017	5/15/2017	5/15/2017	5/15/2017	5/15/2017	5/15/2017	5/15/2017	5/15/2017	4/16/2017
	Number of Days Pending	1,017	1,017	1,017	1,017	1,017	1,017	1,017	1,017	1,017	1,117
OIG	Date of Receipt	9/3/2021	9/3/2021	9/3/2021	9/3/2021	6/22/2021	4/16/2021	4/6/2021	1/25/2021	11/16/2021	5/22/2020
	Number of Days Pending	18	18	18	18	70	116	124	174	219	340
PRIV	Date of Receipt	2/14/2017	2/1/2017	1/6/2017	1/5/2017	1/5/2017	11/22/2016	11/3/2016	10/26/2016	10/11/2016	9/12/2016
	Number of Days Pending	1,160	1,169	1,186	1,187	1,187	1,216	1,228	1,234	1,245	1,265
TSA	Date of Receipt	N/A	9/29/2021	9/28/2020	9/28/2021	9/21/2021	6/30/2021	6/29/2021	7/17/2020	7/16/2020	5/18/2020
	Number of Days Pending	N/A	1	2	2	7	64	65	302	303	344
USCG	Date of Receipt	6/23/2016	6/7/2016	5/5/2016	5/3/2016	9/17/2015	8/20/2015	4/3/2015	1/13/2015	1/13/2015	6/3/2013
	Number of Days Pending	1,320	1,332	1,354	1,356	1,512	1,531	1,628	1,684	1,684	2,063
USCIS	Date of Receipt	N/A	N/A	N/A	8/10/2021	8/5/2021	7/28/2021	7/19/2021	2/18/2021	2/4/2021	11/17/2020
	Number of Days Pending	N/A	N/A	N/A	45	50	54	61	157	166	218
USSS	Date of Receipt	10/18/2018	10/18/2018	9/13/2018	9/13/2018	9/13/2018	9/13/2018	9/13/2018	9/13/2018	4/27/2017	4/27/2017
	Number of Days Pending	741	741	765	765	765	765	765	765	1,112	1,112

Processing Center	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4 <sup>th</sup>	3 <sup>rd</sup>	2nd	Oldest Request
AGENCY OVERALL	Date of Receipt	6/23/2016	6/7/2016	5/5/2016	5/3/2016	9/17/2015	8/20/2015	4/3/2015	1/13/2015	1/13/2015	6/3/2013
	Number of Days Pending	1,320	1,332	1,354	1,356	1,512	1,531	1,628	1,684	1,684	2,063

**(D). (1) Comparison of Numbers of Requests from Previous and Current Annual Report – Requests Received, Processed, and Backlogged<sup>16</sup>**

Processing Center	Number of Requests Received		Number of Requests Processed	
	Received During Fiscal Year from Last Year's Annual Report	Received During Fiscal Year from Current Annual Report	Processed During Fiscal Year from Last Year's Annual Report	Processed During Fiscal Year from Current Annual Report
CBP	80,366	108,177	90,543	90,576
CISA	177	153	221	177
CRCL	N/A	80	N/A	76
FEMA	1,110	975	1,031	916
FLETC	335	162	338	155
FPS	238	210	221	211
I&A	346	257	337	226
ICE	90,304	39,716	80,275	47,865
OIG	306	253	241	142
PRIV	24,121	53,432	23,904	71,586
TSA	695	794	918	1,008
USCG	2,705	2,341	2,248	2,012
USCIS	195,930	235,210	191,114	251,289
USSS	1,038	890	1,232	1,108
<b>AGENCY OVERALL</b>	<b>397,671</b>	<b>442,650</b>	<b>39,2623</b>	<b>46,7347</b>

**D. (2) Comparison of Backlogged Requests from Previous and Current Annual Report**

Processing Center	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
CBP	1,729	15,696
CISA	123	84
CRCL	N/A	14
FEMA	340	472
FLETC	12	6
FPS	9	1
I&A	25	53
ICE	5,308	3,958
OIG	187	282
PRIV	5,752	450
TSA	558	459

<sup>16</sup> CRCL previously included in PRIV.



Processing Center	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
USCG	1,671	1,882
USCIS	20,344	1,599
USSS	292	146
<b>AGENCY OVERALL</b>	<b>36,350</b>	<b>25,102</b>

**D. (3) Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received, Processed, and Backlogged**

Processing Center	Number of Appeals Received		Number of Appeals Processed	
	Received During Fiscal Year from Last Year's Annual Report	Received During Fiscal Year from Current Annual Report	Processed During Fiscal Year from Last Year's Annual Report	Processed During Fiscal Year from Current Annual Report
CBP	3,601	3,194	3,666	3,181
FEMA	24	37	17	22
FLETC	1	3	1	0
ICE	517	667	498	690
OIG	12	27	9	21
PRIV	183	360	205	198
TSA	25	36	20	41
USCG	29	33	7	46
USCIS	2,156	2,511	2,393	2,331
USSS	57	40	35	49
<b>AGENCY OVERALL</b>	<b>6,605</b>	<b>6,908</b>	<b>6,851</b>	<b>6,579</b>

**(4) Comparison of Backlogged Administrative Appeals from Previous and Current Annual Report**

Processing Center	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
CBP	10	14
FEMA	13	25
FLETC	2	3
ICE	206	169
OIG	9	12
PRIV	42	171
TSA	4	1
USCG	38	25
USCIS	1	0
USSS	29	16
<b>AGENCY OVERALL</b>	<b>354</b>	<b>436</b>

## **APPENDIX A: Composition of the Department of Homeland Security**

The U.S. Department of Homeland Security (DHS) has six overarching homeland security missions: to counter terrorism and enhance security, secure and manage our borders while facilitating trade and travel, enforce and administer our immigration laws, safeguard and secure cyberspace, build resilience to disasters, and provide essential support for national and economic security. DHS carries out these missions in coordination with federal, state, local, international, tribal, and private sector partners.

### Offices:

**The Office for Civil Rights and Civil Liberties (CRCL)** provides policy advice to Department leadership on civil rights and civil liberties issues, investigates and resolves complaints, and provides leadership to Equal Employment Opportunity Programs.

**The Office of the Citizenship and Immigration Services Ombudsman (Ombudsman)** is dedicated to improving the quality of citizenship and immigration services delivered to the public by providing individual case assistance, as well as making recommendations to improve the administration of immigration benefits by U.S. Citizenship and Immigration Services (USCIS).

**The Office of the Executive Secretary (ESEC)** provides all manner of direct support to the Secretary and Deputy Secretary, as well as related support to leadership and management across the Department.

**The Office of the General Counsel (OGC)** integrates over 2,500 attorneys from throughout the Department into an effective, client-oriented, full-service legal team. The Office of the General Counsel comprises a headquarters office with subsidiary divisions and the legal offices for nine Department components.

**The Joint Requirements Council (JRC)** validates capability gaps, associated with operational requirements and proposed solution approaches to mitigate those gaps through the Joint Requirements Integration and Management System (JRIMS), leveraging opportunities for commonality to enhance operational effectiveness directly and better inform the DHS's main investment pillars.

**The Office of Legislative Affairs (OLA)** serves as primary liaison to members of Congress and their staffs, the White House and Executive Branch, and to other federal agencies and governmental entities that have roles in assuring national security.

**The Military Advisor** provides counsel and support to the Secretary and Deputy Secretary in affairs relating to policy, procedures, preparedness activities, and operations between DHS and the Department of Defense (DoD).

**The Office of Partnership and Engagement (OPE)** is the headquarters-level organization that through a unified approach to external engagement provides the Secretary with current unfettered information on the impact of the Department's policies, regulations, processes, and actions on state, local, tribal, territorial (SLTT) governments, elected officials, law enforcement, the private sector, and faith-based and non-governmental organizations across the United States and globally.

**The Privacy Office (PRIV)** sets privacy and FOIA policy and for the Department. It also works to preserve and enhance privacy protections for all individuals and to promote transparency in the Department's operations.

**The Office of Public Affairs (OPA)** coordinates the public affairs activities of all of the Department's components and offices and serves as the federal government's lead public information office during a national emergency or disaster.

**The Office of Strategy, Policy, and Plans (PLCY)** serves as a central resource to the Secretary and other Department leaders for strategic planning and analysis, and facilitation of decision-making on the full breadth of issues that may arise across the dynamic homeland security enterprise.

#### Operational and Support Components:

**U. S. Customs and Border Protection (CBP)** is one of the Department of Homeland Security's largest and most complex components. It has responsibility for securing and facilitating trade and travel while enforcing hundreds of U.S. laws and regulations, including those encompassing customs, immigration, border security, and agricultural protection.

**The Cybersecurity and Infrastructure Security Agency (CISA)** leads the national effort to defend critical infrastructure against the threats of today, while working with partners across all levels of government and in the private sector to secure against the evolving risks of tomorrow.

**The DHS Countering Weapons of Mass Destruction Office (CWMD)** enables operational partners to prevent WMD attacks against the United States and promotes readiness for chemical, biological, radiological, and health security threats.

**The Federal Emergency Management Agency (FEMA)** supports state, local, tribal, and territorial partners to ensure we work together to help people before, during, and after disasters.

**The Federal Law Enforcement Training Centers (FLETC)** provides career-long training to law enforcement professionals to help them fulfill their responsibilities safely and proficiently.

**The Office of Intelligence and Analysis (I&A)** equips the Homeland Security Enterprise with the timely intelligence and information it needs to keep the homeland safe, secure, and resilient.

**U. S. Immigration and Customs Enforcement (ICE)** promotes homeland security and public safety through the criminal and civil enforcement of federal laws governing border control, customs, trade, and immigration.

**The Management Directorate (MGMT)** is responsible for budget, appropriations, expenditure of funds, accounting and finance; procurement; human resources and personnel; information technology systems; facilities, property, equipment, and other material resources; providing biometric identification services; and identification and tracking of performance measurements relating to the responsibilities of the Department.

- **Federal Protective Service (FPS)** is an operational component within the DHS Management Directorate that provides law enforcement and security services to approximately 9,000 federal facilities nationwide.

**The Office of Operations Coordination (OPS)** provides daily information to the Secretary of Homeland Security, senior leaders, and the homeland security enterprise to enable decision-making; oversees the National Operations Center; and leads the Department's Continuity of Operations and Government Programs to enable continuation of primary mission essential functions in the event of a degraded or crisis operating environment.

**The Transportation Security Administration (TSA)** protects the nation's transportation systems to ensure freedom of movement for people and commerce.

**The United States Coast Guard (USCG)** is one of the six armed forces of the United States and the only military organization within the Department of Homeland Security. The Coast Guard protects the maritime economy and the environment, defends our maritime borders, and saves those in peril.

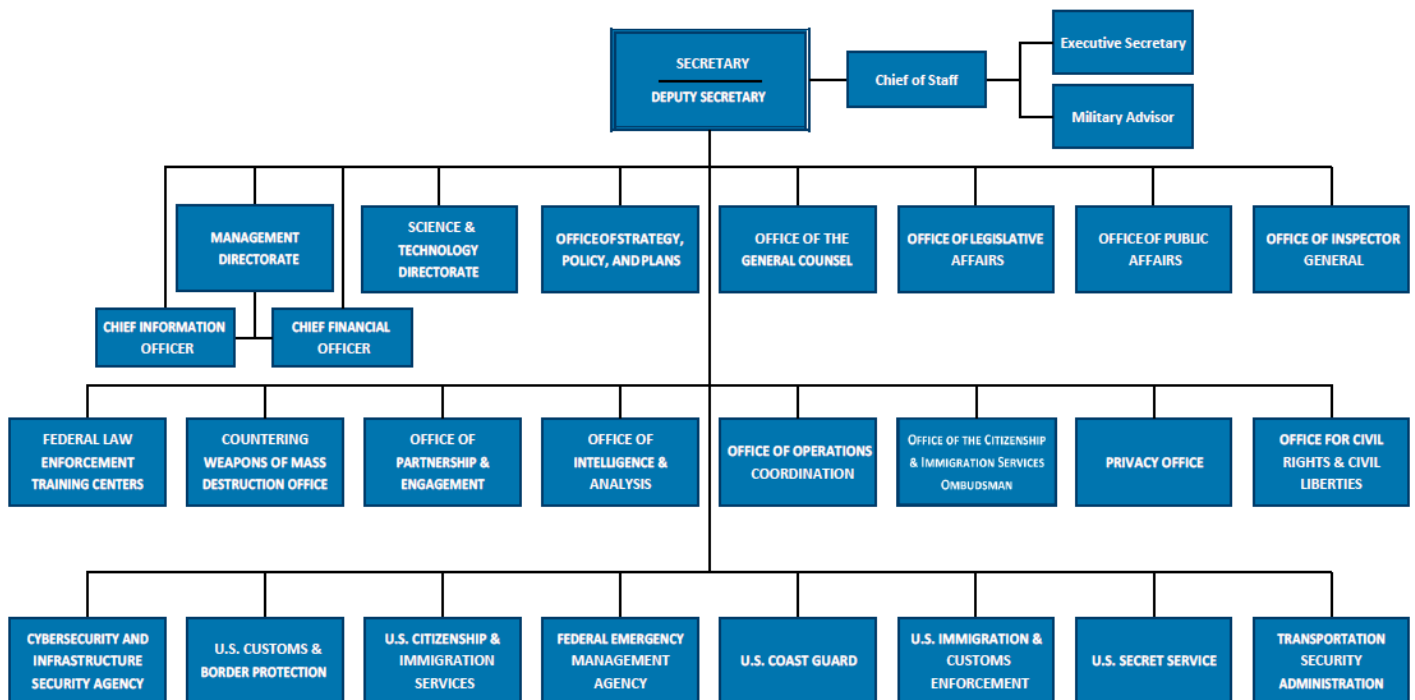
**U.S. Citizenship and Immigration Services (USCIS)** administers the nation's lawful immigration system, safeguarding its integrity and promise by efficiently and fairly adjudicating requests for immigration benefits while protecting Americans, securing the homeland, and honoring our values.

**The Science and Technology Directorate (S&T)** is the primary research and development arm of the Department. It provides federal, state, and local officials with the technology and capabilities to protect the homeland.

**The United States Secret Service (USSS)** safeguards the nation's financial infrastructure and payment systems to preserve the integrity of the economy, and protects national leaders, visiting heads of state and government, designated sites, and National Special Security Events.

## APPENDIX B: Organization of the Department of Homeland Security Chart

### U.S. Department of Homeland Security



## **APPENDIX C: Names, Addresses, and Contact Information for DHS FOIA Officers**

### **Department of Homeland Security Chief FOIA Officer**

Lynn Parker Dupree  
Chief FOIA Officer  
Privacy Office, Mail Stop 0655  
U.S. Department of Homeland Security  
2707 Martin Luther King Jr. AVE SE  
Washington, DC 20528-0655

### **Department of Homeland Security Component FOIA Officers**

The Privacy Office  
Catrina Pavlik-Keenan  
Deputy Chief FOIA Officer  
Ph: 202-343-1743 or 866-431-0486  
Fax: 202-343-4011  
E-mail: [foia@hq.dhs.gov](mailto:foia@hq.dhs.gov)  
Privacy Office, Mail Stop 0655  
Department of Homeland Security  
2707 Martin Luther King Jr. AVE SE  
Washington, DC 20528-0655

U.S. Citizenship and Immigration Services  
Cynthia Munita  
Ph: 816-350-5521; Fax: 816-350-1793  
National Records Center, FOIA/PA Office  
P. O. Box 648010  
Lee's Summit, MO 64064-8010

Office for Civil Rights and Civil Liberties  
Rosemary Law  
Ph: 202-343-1743 or 866-431-0486  
Fax: 202-343-4011  
E-mail: [crclfoia@hq.dhs.gov](mailto:crclfoia@hq.dhs.gov)  
Privacy Office, Mail Stop 0190  
Department of Homeland Security  
2707 Martin Luther King Jr. AVE SE  
Washington, DC 20528-0655

U.S. Coast Guard  
Kathleen Claffie  
Ph: 202-475-3525 Fax: 202-475-3927  
Commandant (CG-6P)  
2703 Martin Luther King Jr Ave, SE  
Stop 7710  
Washington, DC 20593-7710

U.S. Customs and Border Protection  
Sabrina Burroughs  
Ph: 202-325-0150; Fax: 202-325-1476  
FOIA Division  
90 K Street, NE  
Washington, DC 20229-1181

Cybersecurity and Infrastructure Security  
Agency  
Charles Schnepfe  
Ph: 202-343-1743 or 866-431-0486  
Fax: 202-343-4011  
E-mail: [foia@hq.dhs.gov](mailto:foia@hq.dhs.gov)  
Privacy Office, Mail Stop 0655  
Department of Homeland Security  
2707 Martin Luther King Jr. AVE SE  
Washington, DC 20528-0655

Federal Emergency Management Agency  
Gregory Bridges  
Ph: 202-646-3323  
Information Management Division  
500 C Street, SW  
Mail Stop 3172  
Washington, DC 20472-3172

Federal Law Enforcement Training Centers  
Alicia Mikuta  
Ph: 912-261-4512; Fax: 912-267-3113  
Building No.681, Suite 187B  
1131 Chapel Crossing Road  
Glynco, GA 31524

U.S. Immigration and Customs Enforcement  
Fernando Pinero  
Ph: 866-633-1182; Fax: 202-732-4265  
500 12<sup>th</sup> Street, SW, Mail Stop 5009  
Washington, DC 20536-5009

Office of Inspector General  
Roy Jones  
Ph: 202-254-4001; Fax: 202-254-4398  
U.S. Department of Homeland Security  
245 Murray Lane, SW, Mail Stop 0305  
Washington, DC 20528-2600

Office of Intelligence and Analysis  
Teresa Taylor  
Ph: 202-447-3783; Fax: 202-612-1936  
U.S. Department of Homeland Security  
Washington, DC 20528-0001

Science & Technology Directorate  
Erica Talley  
Ph: 202-343-1743 or 866-431-0486  
Fax: 202-343-4011  
E-mail: [foia@hq.dhs.gov](mailto:foia@hq.dhs.gov)  
Privacy Office, Mail Stop 0655  
Department of Homeland Security  
2707 Martin Luther King Jr. AVE SE  
Washington, DC 20528-0655

United States Secret Service  
Kevin Tyrrell  
Ph: 202-406-6370; Fax: 202-406-5586  
245 Murray Lane, SW, Building T-5  
Washington, DC 20223

Transportation Security Administration  
Kerry Callahan (Acting)  
Ph: 1-866-FOIA-TSA; Fax: 571-227-1406  
6595 Springfield Center Drive  
Springfield, VA 20598-6020